GRANTS/ALTERNATIVE FUNDING SOURCES

US Army Corps of Engineers_®

U.S. ARMY



Grant Authorities



- Authority 33 USC 2325 and 33 USC 2328, Water Resources Development Act of 1992
- ER/EP 1130-2-500, Ch. 11 The Contribution Program provides the means and processes on how to accept donations plus administer any funds the Corps might receive directly or indirectly from a grant.

**NOTE: Grants are considered to be Contributions, not an illegal augmentation of appropriated funds.

U.S. ARMY



Foundations

- Typical
- Family
- Community
- Commercial
- Congressionally chartered

Corporations

Who

Gives

Grants?

- Directly from the company (Wells Fargo, WalMart, Bank of America)
- From the company's non-profit foundation (Wells Fargo Foundation, WalMart Foundation, Bank of America Charitable Foundation)

Community Organizations

Lion's Clubs, Rotary, etc.

Individuals



Grants

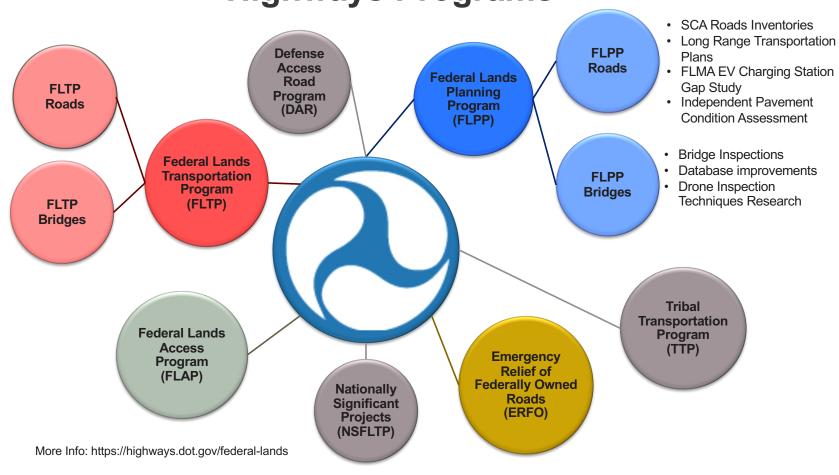


- Few Federal grants directly available to the Corps
 Department of Transportation (DOT) and Federal Highways (FHWA) funds
 US Forest Service (USFS) Pest Suppression program grants
 Reservoir Fisheries Habitat Partnership (RFHP)
- Some State grants are directly available to Corps, but often require matching funds up front.
 (State boating and waterway grants)
- Many more grants are available to friends groups/cooperating associations
- Corps Foundation is available to help with seeking grants



USACE Benefits from DOT and Federal Highways Programs

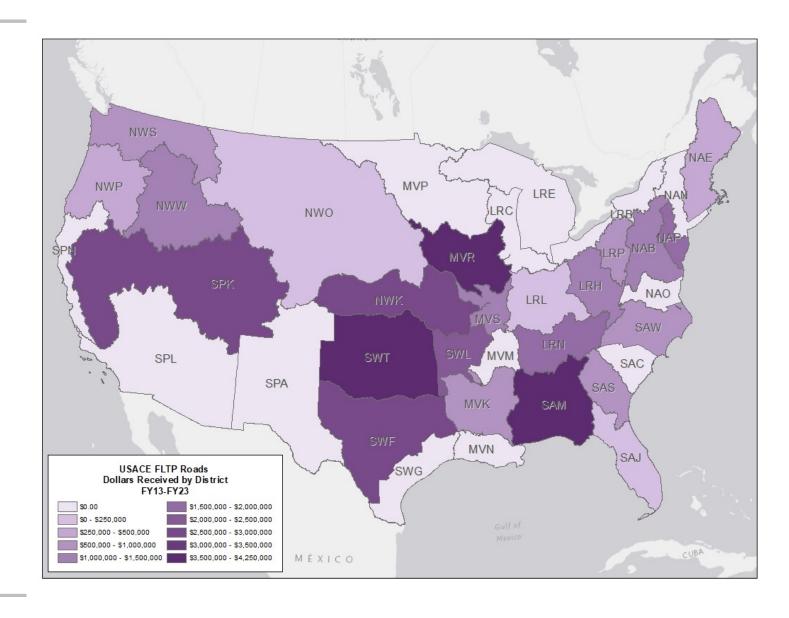






FLTP FUNDS

Roads & Parking Heat Map





Public Roads & Bridges FLTP Activity



FLTP Accomplishments FY13-23:

	89.5	miles of roadway improved
****	47	bridges repaired
8	million	visitors provided safer access annually

\$63.76M FLTP dollars received through FY23























Recent Success NWP - Cottage Grove Lake - Pine Meadows



Before After









Example of Improvements - MVD







West Overlook Day Use, Coralville Lake

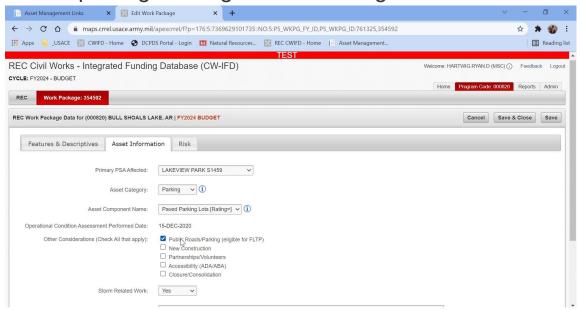
- Full depth patch of significant cracks, 2" overlay, shouldering topsoil and striping of roads and parking lots.
- 1.5 miles of roadway, 134,000 sq ft parking surface



How to Apply for FLTP Funds



Submit a package through the Rec Budget Process

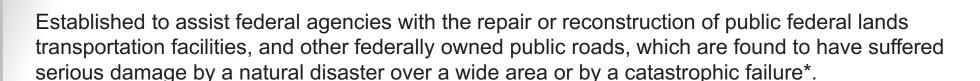


Packages will be ranked by Operational Condition Assessment (OCA) Score, Economic Impact, Visitation, Description and Justification to create a 5-year plan to submit to DoT

Annual obligation plans submitted to DoT are based on rank and what fits into the FLTP budget for the year



Emergency Relief for Federally Owned Roads (ERFO)



- Permanent repairs are undertaken after the occurrence of the disaster to restore facilities to their pre-disaster conditions. Prior approval required.
- Intent notification required step (within 45 days of event)
- Actual funding provided or based on eligibility and annual ERFO fund request process (can involve congressional authorizations)

*a natural disaster over a wide area, such as by a flood, hurricane, tidal wave, earthquake, severe storm, wildfire, or landslide; or catastrophic failure from any external cause.





Emergency Relief for Federally Owned Roads

- Unlike FLTP, a single event can provide millions in funding for improvements
- Minimum Funding Thresholds
 - \$700,000 per event
 - \$5,000 per site repaired
- Online training available
 - https://flh.fhwa.dot.gov/programs/erfo/training/
 - ERFO staff also willing to offer regional training sessions in person (pending minimum class sizes)
 - ERFO Disaster Assistance Manual <u>https://highways.dot.gov/sites/fhwa.dot.gov/files/docs/federal-lands/programs/erfo/8521/erfo-2015.pdf</u>
- https://flh.fhwa.dot.gov/programs/erfo/



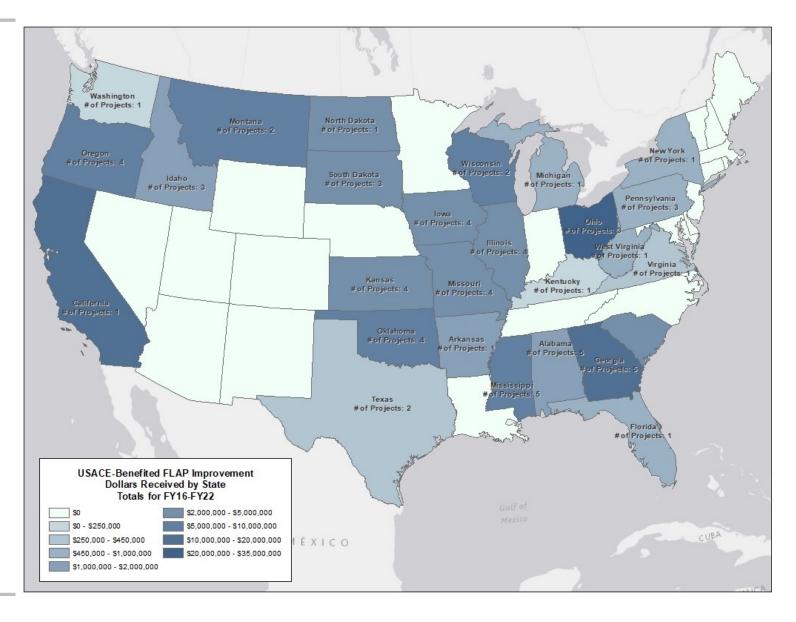
Federal Lands Access Program

- The goal of the Federal Lands Access Program (Access Program or FLAP) is to improve transportation facilities that provide access to, are adjacent to, or are located within Federal lands (outgranted).
 - Emphasis on high use sites and economic generators
- The Access Program supplements State and local resources in 50 States, DC and Puerto Rico
- Compliments other Federal Programs
 - Federal Lands Transportation Program
- State or Local Governments are eligible applicants
- NEW under Infrastructure Investment and Jobs Act (IIJA): No by applicant match required.



FY16-FY22 FLAP Funds to USACE Partners

https://flh.fhwa.dot.gov/programs/flap/





Your Role With The FLAP Program



- Share information about the program with your State/County/Local partners
- If you have a partner applying for grant, provide a statement of support
 - Include economic benefits of the region/PSA, any condition or public feedback requests for improvement
- District or Regional PoCs may be asked to participate in ranking discussions
- Use your Master Planning process to have conversations with partners about transportation improvement.

North Dakota Federal Lands Access Program: Proposed Project FLMA Support Form

Support Form - Acknowledgement of Coordination with Federal Land Management Agency (FLMA)

By signing this support form, the FLMA representative certifies that the projects provide access to, is adjacent to, or are located within a Federal recreation site or Federal economic generator. Please fill in all fields. This form can be signed and submitted

to this signature page.	d, scanned and submitted electronically. Letters of support are highly recommended in addition
Project Title (From Application):
2. Applying Agency (From Applic	ation):
3. Federal Land Management Ag	ency (FLMA) supporting project:
4. Name of FLMA representative	:
5. Title:	
6. Signature:	7. Date (MM/DD/YYYY):
8. E-mail:	9. Telephone: ext
10. Comments on the proposed	project. (Why is the project significant or critical access for your federal lands?):
I	
	is ready to support the improved, new, and/or increased access. (E.g. if the applicant is buildi
a multimodal path to the entrance,	does the unit have existing or proposed infrastructure in place that will support this type of acces
12. Will Federal Land Transporta adjacent to this project?	tion Program (FLTP) funds be provided as match for this project? Are there any FLTP project
13. FLMA Point-of-Contact (POC	r) Name:
14. POC Telephone:	ext 15. POC e-mail:
	Laurie Miskimins, Transportation Planner Central Federal Lands Highway Division

12300 West Dakota Ave, Ste 3806, Lakewood, CO 80228
Phone: 720-963-3465 [Jauhe miskimins@dot.gov
Additional information on the Federal Lands/programs-access/nd

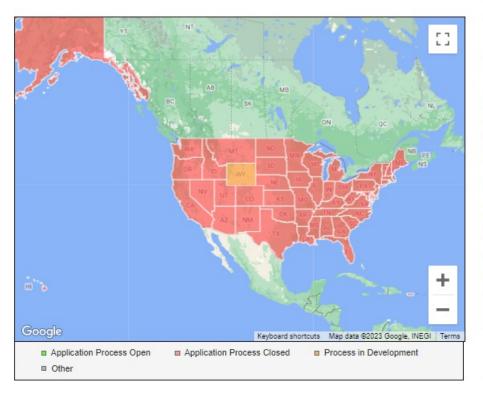




Status of the Call for Projects

To learn more about the call for projects status, points of contact or to access a link to a State FLAP webpage, click on a state in the map below.

* Figures listed are annual allocation estimates per fiscal year and subject to availability of funds.



 Distribution Worksheet, FY 2022-2023 (IIJA funding levels)

FLAP Accomplishment Reports

- FY 2021 FY 2022 FLAP Accomplishment Reports
- FY 2018 FLAP Accomplishment Reports
- FY 2013 FY 2015 FLAP Accomplishment Reports

Program Managers

Jeff Mann

Senior Program and Technical Manager Office of Federal Lands Highway Phone: (703) 404-6230 Email: jeffrey.mann@dot.gov ₪

Contact Us

Office of Federal Lands Highway

United States Department of Transportation Federal Highway Administration 1200 New Jersey Avenue, SE Washington, DC 20590 United States flh.webmaster@dot.gov ₪

Phone: 202-366-9494

Share

Federal Lands Access **Program**

https://flh.fhwa.dot.gov/ programs/flap/



Competitive Transportation Funding Opportunities



Nationally Significant

- Nationally significant transportation projects on or adjacent to federal or tribal lands, minimum project size \$12.5M
- \$55M Annually

Wildlife Crossing

- Reduce
 Wildlife Vehicle
 Collisions and
 improve
 Habitat
 Connectivity
- \$70M Annually

PROTECT

- Funds to offset the cost of added resilience and sustainable materials in improvement projects
- \$280M Annually

Bridge Investment

- Funding for large bridge projects with a minimum of \$50M
- \$2.4B Annually







USFS Pest Suppression Grants



- 100% funding from USFS for prevention or suppression of:
 - Bark beetle
 - Spongy moth
 - Emerald ash borer
 - Asian long horn beetle
 - Defoliators and other insects
 - Dwarf mistletoe
 - Oak wilt
 - Other disease

Request for proposals sent out in September

Proposals due in November

Required to coordinate with your local Regional USFS office

Proposals focused on suppression/eradication typically score higher

Range of past funding \$1,000 - \$40,000. Total received by USACE \$734k at 4 projects

Work with state collaborators on larger scale efforts









National Environmental Education Foundation (NEEF) Grants



- Biodiversity Conservation Grant
 - \$200k in grant funding (minimum of \$50k, maximum of \$100k per project)
 - For shovel ready pollinator habitat enhancement projects
 - Projects must implement on-the-ground activities designed to increase the quality, quantity, and connectivity of pollinator habitats
 - Must provide opportunities for public engagement through education or volunteer-focused events
 - https://www.neefusa.org/2024-biodiversity-conservation-grant



Restoration and Resilience Grant

- \$2,500 grants
- Projects to address impacts of COVID on public lands for conservation, accessibility, cultural/historic preservation
- Berlin Lake received \$2,450 in 2021 for tailwater project to fix erosion issues and increase safe public access





Sustainable Rivers Program (SRP)



Gila River

Pecos Rive Willamette Rive

Ballard Locks

Osage River 10. Brazos Rive 11. Trinity Rive

12. Neches River 13. Big Cypress Bayou 14. Kiamichi River

15. Arkansas Rive 16. Cossatol River 17. Fourche La Fave River 18. White/Black/Little Red Rivers 19. Bais de Siaux River 20. Minnesota River

22. Iowa River

25. Mississippi River

28. Wabash River 29. Ohio River 30. Green River

31. Barren Rive 32. Sugar Creek 33. Twelve Pole Creek 34. Kanawha Biver

36. Upper Ohio River

37. Tombigbee River 38. Alabama River

40. Savannah River 41. Cape Fear River 42. Roanoke River

43. Potomac River 44. Lehigh River 45. Connecticut Rive

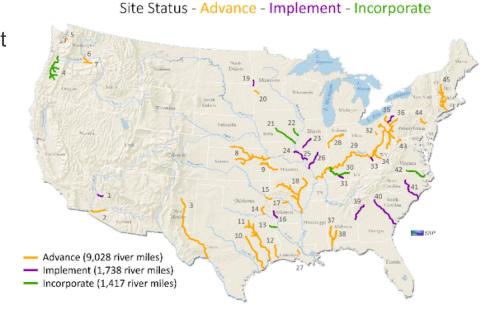
39. Chattahoochee Rive

Yakima River Delta (McNary) Walla Walla River (Mill Creek

Partnership between USACE and The Nature Conservancy (TNC) that started in 1998 to identify, refine and implement environmental strategies at USACE water infrastructure

Works with water managers, reservoir operators, scientists and stakeholders to increase the ENS benefits provided by the already built infrastructure

Alter the quantity, timing, and quality of water flows to improve ecosystem function



\$5 million budgeted in FY 23

Work currently being done on 40 river systems/12,000 river miles/150k acres of floodplain https://www.hec.usace.army.mil/sustainablerivers/



Reservoir Fisheries Habitat Partnership Large Grants



National partnership to promote and facilitate the conservation of habitat for fish and other aquatic species in reservoir systems

Partial funding of reservoir fisheries habitat enhancement projects

Must have a minimum of 1:1 non-federal contributions

Request for proposals sent in October, due in February

Proposals must include "on-the-ground" habitat restoration objectives. Research proposals are not acceptable.

Project must be completed in 18-24 months

Proposals up to \$75,000 for first time recipients, sliding scale for repeat recipients

USACE has successfully received \$1.7 million from this program at 22 projects

Criteria:

Aquatic Habitat Restoration/Protection
Quality of Life
Partnerships/Fund leveraging/Promotion



https://www.friendsofreservoirs.com/about-us/partnership/



Reservoir Fisheries Habitat Partnership Small Grants



Mossback Grants

3 grants per year for \$1000

Due 30 Sept - \$25 application fee

Each grant is a \$1000 worth of Mossback artificial fish habitat products

Small Grants

6-8/year depending on funding

Up to \$1500/grant

Habitat building supplies, repair boat ramps, signage, education

Must be a member of Friends of Reservoirs

Application process is ongoing

USACE has successfully received \$16,500 from these programs at 13 projects



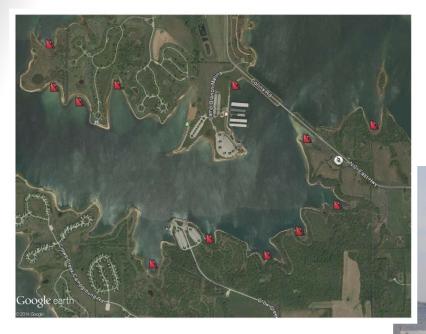
https://www.friendsofreservoirs.com/grants/



RFHP Grant Received by the Corps

NWK: Smithville Lake Reservoir Fisheries Habitat Partnership grant of \$20,000 for enhanced

aquatic habitat, shoreline stabilization, jetty repair







Richard B. Russell (SAS) Project

RESERVO FISHERIES HABITAT
PARTNERSHIP

- Received \$16k from RFHP
- Objective Establish shoreline & littoral zone vegetation purchase
- Enhance over 13K square feet of shoreline
- Aquatic vegetation
- 4,500 water willow plants













Shelbyville Fish Habitat Video (MVS)

https://youtu.be/7tOSg5SsMsg





Important Takeaways



- It is CRITICAL to have a well-respected individual that is trusted by the fisherman as the leader of the group.
- 2. Just as important is a GREAT organizer to keep everyone on track.
- 3. A cooperating association such as Friends of Lake Shelbyville (501c3) to handle the money is very important for transparency.
- 4. A Placement Committee made up of the most respected fisherman you can find! We (Corps) give them depth parameters for the structure and let them pick the locations. Goes a LONG way.
- 5. It is a MUST for Corps employees to participate in the builds *in uniform* I can't stress how much goodwill this builds with the community.
- 6. A Social Media presence is paramount. We can tout our successes, keep people updated on upcoming projects, solicit help for builds, habitat drops, stocking projects, etc.
- 7. Think outside the box who knew a fish banquet would go over so well? Also, the highest auction item was a GPS chip with all the structure pre-loaded went for \$1,000!
- 8. Support from the bosses! It takes time once all of this starts rolling!



Examples of Grants Related to Natural Resources



Boat US Foundation Grassroots Grants: https://www.boatus.org/grants/apply

Bass Pro Shops and Cabela's Grants: https://about.basspro.com/community/support

Conserving Wildlife and Habitat

Connecting New Audiences to the Outdoors

Advocating for Access and Sportsmen's Rights

Supporting Military and Veterans

Strengthening Communities in the Missouri Ozarks

National Fish and Wildlife Foundation Grants: https://www.nfwf.org/apply-grant

National Recreation and Park Association Grants: https://www.nrpa.org/our-work/Grant-Fundraising-Resources/

Arbor Day Grants: https://www.arborday.org/programs/tdgreenspacegrants/requirements.cfm

NEEF Grants: https://www.neefusa.org/what-we-do/grants/grants

North Face Explore Fund Grants: https://www.thenorthface.com/en-us/about-us/outdoor-exploration/about-the-explore-fund

Recreational Boating and Fishing Foundation Grants: https://www.takemefishing.org/corporate/what-we-do/state-agency-engagement/r3-grants/



- LRL: Nolin River Lake- National Water Safety Congress grant winner
- MVK: Lake Ouachita and DeGray Lake \$10,000 grant from ALCOA to control hydrilla via Pakistani fly propagation





- LRP: Various locations- \$191,000 in grants from National Audubon Society and NFWF to improve pollinator habitat for butterflies and bumblebees
- SPK: Englebright Lake- Grant from Michael & Ina Korek Foundation Trust for safe boating campaign







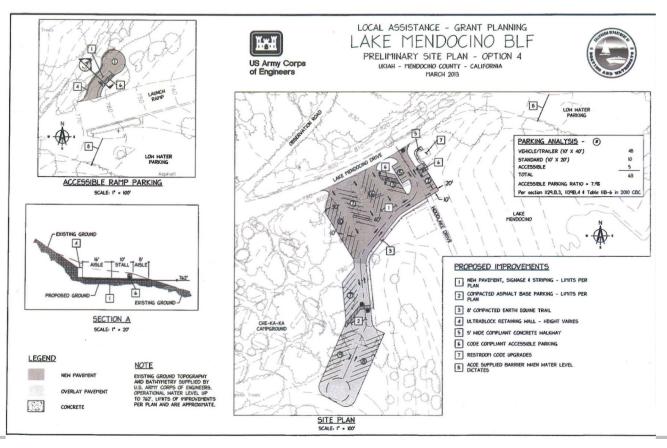
- LRH: Paint Creek Lake- \$6,000 Ohio Department of Natural Resources Division of Watercraft Cooperative Boating Facility Grant to construct river access points
- NAB: Raystown Lake- \$854,450 Transit in Parks grant for nonmotorized transportation pathway
- SPN: Lake Sonoma- \$78,000 for an Interpretive and Education Master Plan; \$182,000 grant for Stream Restoration Demo Project; \$52,000 grant for trout interpretive murals





SPN: Lake Mendocino Cal Boating grant of \$1.4 million for parking lot expansion, utility upgrades, accessible walkways, restroom upgrade, and signage.

KEY: Expanded upon previous grants that were successfully received from Cal Boating and executed by the Corps, totaling \$688k.





SPK: Success Lake Cal Boating grant of \$349k for Quagga and Zebra Mussel Infestation

Prevention

Mussel dog teams

 Educational signs, brochures, exhibits

Additional seasonal staff







LRE: Lake Superior Marine Museum Association for Lake Superior Maritime VC

- Minnesota Lake Superior Coastal Program (matching grant) for exhibits
- Minnesota Historical Society for long range conservation plan and artifact restoration









MVK: Friends of Lake
Ouachita applied for a grant
from the Arkansas
Department of Health

- Two grants (\$3500 and \$4000) awarded for health initiatives
- USACE provided letter of support and USACE staff installed









MVK: Lake Ouachita High School Fishing team for Lake Ouachita

- \$1,000 grant from Arkansas Game and Fish Commission for fish habitat improvements
- Youth Tournament Organization Habitat Grants Program (under AGFC Black Bass Program)
- Fishing team students heard about grant that shooting sports team had received, looked into opportunities for fishing funds, and contacted the lake to see if we were interested







Youth bass fishing team earns \$1,000 grant for building habitat



SAM: West Point Lake Coalition (WPLC)/West Point Lake buoy light program

- WPLC provides the lights, Corps installs and maintains
- Started as a 3 year pilot project. Success led to grant funding.
- Received 5 year grant funding of \$40,000 from the Callaway Foundation





Working with the Corps Foundation to Apply for Grants



When to ask for the Foundation's help:

- 1. If there are grants that you are interested in applying for, but only a non-federal or non-profit organization can apply for them
- 2. You have tried to find a local partner to work with on the application but were unsuccessful.
- 3. You have identified a donor; however, you would like a non-profit to be the asking organization and fiscal agent.

How to work with the Foundation on a grant proposal:

- Develop a basic outline/proposal of the project you would like funded and identify a grant opportunity that matches the project.
- Contact the Foundation to determine their interest/availability.
- Be prepared to write the grant application. As the lake manager/staff, you know the details of the scope of the project and what resources/\$\$ you will need.
- The Foundation can help finesse the application and make sure you've covered the key criteria.
- The Foundation will serve as the applicant and administrator of the funds and will typically ask for a fee to serve as the grant administrator. (this can be worked into the grant proposal)



Grant Tips

- Make sure the Corps or your partner that is applying for the grant meet the eligibility requirements.
- Research your grantors- know what types of grants they have awarded in the past, typical amounts, what they are interested in.
- Start small to get a track record before you try for a big grant.
- Multiple partners strengthen your chance of funding
- Funders prefer to get applications <u>earlier</u> than the deadline.
- If rejected, it is ok to call and ask for feedback to improve future submittals.







- Introduction brief reiteration
- Partners Who will accomplish what, and why chosen
- Problem Statement Why act?
 Flows from your mission
 Meeting a need
 Solving a problem
- Purpose of the Request Goals/Outcomes that focus on the solution
- Work Plan/Timeline Give the reason why you chose the steps and the numbers you will serve
- Organizational Capacity Who will do the work and why qualified
- Sustainability Who will maintain the program? Can it generate fees?



Common Elements of a Proposal

- Proposal Summary This may be the 1st (or only) thing read! Include only essential info
- Cover Letter One page from lead partner or applicant that tells how much and over what period of time
- Program Budget Full cost of program, direct/indirect costs, in-kind
- Grant Budget What the grant covers (specific costs)
- Required Attachments Follow the grantor's list EXACTLY
- Desired Attachments Support from partners, endorsements, materials from related efforts

Follow Through



- Keep the funder informed of progress and completion!
- Document results
- Grant reporting requirements Meet the deadlines!
- Photos
- Publicity
- Thank You letters with photos Keep in touch with interesting materials
- Coordination with partners

^{**}Success breeds more success!





Recap Questions

Grants that the Corps can directly apply for include:

- Federal Highway Administration funds
- US Forest Service Pest Suppression Program
 - Reservoirs Fisheries Habitat Partnership
 - Some State boating and waterways grants



Resources



- ☐ Your local college/university Check with Public Administration degree students. Many universities offer grant writing classes where the students are required to submit real grant applications as one of their projects.
 ☐ http://www.tgci.com/funding.shtml grant opportunities/foundations by state
- □ http://philanthropy.com go to corporate giving
- □ http://www.conservationgrants.com/bystate.htm Conservation Grants Center, list by State
- □ https://learning.candid.org/





https://corpslakes.erdc.dren.mil/partners/grants.cfm



Grants/Alternative Funding Sources

Grants can be obtained from public and non-public sources consistent with the purpose of the granting entity. Cooperating associations and friends groups may apply for grants and apply the grant moneys to work activities on Corps lands. The Corps does not usually apply for grants with other Federal agencies unless the legal authority specifically addresses grants between Federal agencies. The Corps can apply for State, local, and private grants if such action does not involve lobbying Congress, the funds will be used for an authorized mission, and the acceptance is consistent with appropriation law. In practical terms, it is much easier for the partner to apply for the grant and accept the funds, so this is the preferred method. Corps employees may help partners write grant proposals, and may conduct training sessions on grant writing.

A third party entity could include a Friends Group, Cooperating Association, Non-Profit, Foundation or local governments. While the third party would usually initiate the grant application, the Corps would maintain oversight of the Project. See the Grants Application & Execution Process flow chart from NWP for a good example of the granting process.

The Corps Contribution Program provides the means and processes on how to accept donations plus administer any funds the Corps might receive directly or indirectly from a grant. A Contribution Plan needs to be consistent with the contents of the Operation Management Plan (OMP).

Helpful Steps to Apply for Grants with a Third Party

- 1. Identify and plan the project and ensure it is included in the OMP and Contributions Plan. Ensure the project is ready to go including a funding concept with any needed permits, environmental reviews, clearances and cost.
- 2. Seek a partnership with a non-profit or local government. Explain that the Corps would like to complete a project, but requires assistance that may include fundraising efforts such as seeking grants. Corps staff may be asked by the partner to help locate a potential grant. Corps participation is encouraged, but the non-profit entity would submit the application and be the proponent.
- 3. Identify potential grant sources: The non-profit may be willing to help or have knowledge of specific grants, but Corps staff could assist with identifying possible sources.
- 4. Completing the grant application: Most grant application packages have specific guidance which should be followed precisely. If application guidance is more loosely worded, it is advisable for the applicant to call the Grantor and ask for any information and submission advice they may be willing to share.
 - Grants and Funding Available to the Corps
 - Related Sites/Links
 - FAOs

- News and Current Issues
- Success Stories



https://corpslakes.erdc.dren.mil/employees/flh/flh.cfm



Home Visitors Lake Discovery Recreation Env Compliance Env Stewardship Partners News/Events People Forums Learning GETS Tools New Postings Submit Index/Search

Federal Lands Highways (FLH) Transporation Improvement Programs

Headquarters POC

The Office of Federal Lands Highway (FLH), of the <u>U.S. Department of Transportation (USDOT)</u>, Federal Highway Administration (FHWA), was established to promote effective, efficient, and reliable administration for a coordinated program of federal public roads and bridges; to protect and enhance our Nation's natural resources; and to provide needed transportation access for Native Americans. The office's primary purpose is to provide financial resources and transportation engineering assistance for public roads that service the transportation needs of Federal and Indian lands. The FLH provides these services in all 50 states, the District of Columbia, Puerto Rico, and US Territories through our Headquarters, Eastern, Central, and Western Federal Lands Highway Division offices. FLH is enabled and entrusted to administer many different programs and types of funds to facilitate transportation improvements for Federal Land Management Agency (FLMA) Partners such as: the National Park Service; U.S. Forest Service; U.S. Fish and Wildlife Service; Bureau of Indian Affairs and Tribal Governments; Bureau of Land Management; Department of Defense; U.S. Army Corps of Engineers; and the Bureau of Reclamation.



More information on FLH and information on the history of DoT's Highways Programs can be found on their website.

Major programs administered by FLH include:

- Federal Lands Transportation Program (FLTP) Improves transportation facilities owned and maintained by a federal agency.
- Emergency Relief for Federally Owned Roads (ERFO) Program to pay the unusually heavy expenses for the repair and reconstruction of eligible facilities damage or destroyed in disaster.
- Federal Lands Access Program (FLAP) Improves transportation facilities owned or maintained by a non-federal agency providing access to, adjacent to, or located within federal lands. (no direct USACE funding, but program could be helpful for adjacent or outgranted state/local/county government facilities)
- Federal Lands Planning Program (FLPP) Funding to conduct continuing, comprehensive, and collaborative intermodal transportation planning that facilitates the efficient, economic movement of people and goods to and from USACE lands.
- Tribal Transportation Program (TTP) Provides safe and adequate transportation and public road access to and within Indian reservations, Indian lands, and Alaska Native Village communities (no direct USACE funding, but programs could be helpful for projects sharing boundary lines with tribal lands)
- Nationally Significant Federal Lands and Tribal Projects (NSFLTP) Discretionary funding for nationally significant projects that have an estimated construction cost of at least \$12.5 million.